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## EFFECTIVENESS MONITORING COMMITTEE (EMC) Strategic Plan



Submitted to the California State Board of Forestry and Fire Protection

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Cover photos (clockwise from the top left): Class II-Large water temperature study site on LaTour Demonstration State Forest; Montana weir at a gaging station in the South Fork of Caspar Creek watershed, Jackson Demonstration State Forest; Automated bird recorder installed on Boggs Mountain Demonstration State Forest (BMDSF); and plot-scale sediment fence installed as part of the BMDSF post-fire runoff and erosion study.

**Commented [A1]:** Would anyone like to submit new photos for the front cover? If so, please provide photo credit and photo information.

**Commented [A2R1]: EMC Member Review**  
I have a request into Kevin and Catalina if they have something they can share from the Class II-L effectiveness study

Proposed photos and captions:



Measuring algal concentrations with a BentoTorch at a study site in a lower Klamath River tributary for the of Class II riparian prescription effectiveness study. Photo by Jonah Nicholas.



Conducting a stream survey at a study site in a lower Klamath River tributary for the Class II riparian prescription effectiveness study. Photo by Cedric Pimont.

## 1 EXECUTIVE SUMMARY

2 The California State Board of Forestry and Fire Protection (Board) formed the Effectiveness Monitoring  
3 Committee (EMC) in 2014 to develop and implement a monitoring program to address both watershed  
4 and wildlife concerns and to provide a better active feedback loop to policymakers, managers, agencies,  
5 and the public. Effectiveness monitoring is necessary to assess whether management practices are  
6 achieving the various resource goals and objectives set forth in the California Forest Practice Rules  
7 (FPRs), and associated regulations, including other natural resource protection statutes and laws, codes,  
8 and regulations (EMC 2013, MacDonald et al. 1991) and is a key component of Adaptive Management  
9 (AM). Effectiveness monitoring is also a crucial component for complying with the “ecological  
10 performance” reporting requirements outlined in Assembly Bill (AB) 1492 (Forest resource management  
11 2012).

12 The EMC and the Board developed a suite of critical monitoring questions based on input from a variety  
13 of stakeholders and organized them into 11 themes. The EMC uses these themes and critical monitoring  
14 questions as guidance to solicit and evaluate effectiveness monitoring projects for funding support. The  
15 goal is to develop a process-based understanding of the effectiveness of FPRs and associated regulations  
16 in maintaining and enhancing water quality, and aquatic and wildlife habitats. In addition to laying out  
17 the critical monitoring questions, the Strategic Plan documents the AM framework utilized by the EMC  
18 and the Board to evaluate the impacts of the FPRs and associated regulations to new information based  
19 on the results of scientific research, and adapt these rules and regulations to new information. The  
20 Strategic Plan also describes the processes for project solicitation, implementation, and evaluation. The  
21 EMC will review and update the Strategic Plan every three years and present it to the Board for  
22 approval.

23 Serving as a companion to the Strategic Plan, the EMC Annual Report and Work Plan documents yearly  
24 accomplishments by the EMC, tracks changes to EMC membership, documents the project selection  
25 process for the year, and provides updates on the status of previously funded monitoring projects. The  
26 work products and processes of the EMC include the following:

- 27 • Periodically update EMC Strategic Plan for Board consideration.
- 28 • Prepare an Annual Report and Workplan for Board consideration.
- 29 • Regularly meet in open, webcast public meetings to conduct its work.
- 30 • Annual distribution of a Request for Proposal (RFP) soliciting project proposals for monitoring  
31 research investigating the FPRs and associated regulations.
- 32 • Review and rank project proposals, and recommend projects for funding by December of each  
33 year. Funding of projects occurs from an annual allocation of up to \$425,000 each fiscal year  
34 from the Timber Regulation and Forest Restoration Fund (TRFRF).
- 35 • Review Committee membership as needed due to term expirations or resignations. A Call for  
36 Membership, if necessary, is widely distributed to encourage a broad spectrum of applicants  
37 that meet membership qualifications.

38

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**LIST OF ABBREVIATIONS**

101		
102	AM	Adaptive Management
103	Basin Plan	Water Quality Control Plan (WQCP)
104	Board	California State Board of Forestry and Fire Protection
105	CAL FIRE	California Department of Forestry and Fire Protection
106	CCR	California Code of Regulations
107	CDFW	California Department of Fish and Wildlife
108	CEQA	California Environmental Quality Act
109	CGS	California Geological Survey
110	CRA	<a href="#">Completed Research Assessment</a>
111	CNRA	California Natural Resources Agency
112	EMC	Effectiveness Monitoring Committee
113	ESA	Endangered Species Act
114	EX-EM	Exemption and Emergency Notices
115	FGC	Fish and Game Code
116	FGCom	Fish and Game Commission
117	FPA	Forest Practice Act
118	FPC	Board Forest Practice Committee
119	FPP	Full Project Proposal
120	FPRs	California Forest Practice Rules
121	ICP	Initial Concept Proposal
122	PI	Principal Investigator
123	Plans	Timber Harvesting Plans and all other harvest documents as defined
124		under 14 CCR § 895.1
125	RPF	Registered Professional Forester
126	THP	Timber Harvesting Plan
127	TRFR	Timber Regulation and Forest Restoration Program
128	WLPZ	Watercourse and Lake Protection Zone
129	Working Groups	AB 1492 program Working Groups: Ecological Performance Measures,
130		Data and Monitoring, Administrative Performance Measures, and
131		Interagency Information Systems.
132	WQCP	Water Quality Control Plan, commonly referred to as Basin Plan.

**Commented [A3]: REVIEWERS:**

All committee members to review for additions, deletions, or modifications

Board Staff to review list at end to refresh as needed.



133 **1.0 INTRODUCTION**

134 The EMC was formed in 2014 to develop and implement an effectiveness monitoring program to  
135 address both watershed and wildlife concerns and to provide a better active feedback loop to  
136 policymakers, managers, agencies, and the public to better assist in decision-making and adaptive  
137 management (AM). Effectiveness monitoring is necessary for assessing whether forest management  
138 practices are achieving the various resource goals and objectives set forth in the California Forest  
139 Practice Act (FPA) and Forest Practice Rules (FPRs) (see CALFIRE 2020) and other natural resource  
140 protection statutes and laws, codes, and regulations (EMC 2013, MacDonald et al. 1991). Effectiveness  
141 monitoring is also a critical component in determining compliance with the “ecological performance”  
142 reporting requirements outlined in Assembly Bill (AB) 1492 (2012). The Timber Regulation and Forest  
143 Restoration Fund (TRFR) is directed by AB 1492 to develop ecological performance measures for state  
144 and private forestland management. Therefore, EMC-funded research projects are funded from the  
145 Timber Regulation and Forest Restoration Fund (TRFR) fund.

146 A goal of the EMC is to develop a process-based understanding of the effectiveness of the California  
147 FPRs and other natural resource protection statutes and laws, codes and regulations, including the  
148 California Endangered Species Act (ESA), federal ESA, Porter-Cologne Water Quality Act, federal Clean  
149 Water Act, and Fish and Game Code (FGC). The EMC collectively refers to these as the **FPRs and**  
150 **associated regulations**, and evaluates their effectiveness by utilizing research results stemming from  
151 EMC-supported research. Findings are then presented in a formal AM process to inform the California  
152 Board of Forestry and Fire Protection (‘Board’) in its future policy development. This is a key component  
153 of AM, providing the basis for decision-making and facilitating adaptation to changing circumstances and  
154 unexpected outcomes in dynamic ecosystems.

155 Several documents guide the EMC’s operations:

- 156 • The Board-approved Charter (EMC 2013) directs the EMC to implement a collaborative,  
157 transparent, and science-based monitoring effort. The Charter communicates the goals and  
158 objectives of the EMC; describes the membership and structure of the committee; and details  
159 meeting organization, rules of conduct, and how the committee takes action and communicates  
160 with the Board. EMC members represent a wide range of natural resource expertise from  
161 academia, state and federal agencies, private and state forestland owners, and the public.  
162 Expertise includes forest management and ecology, hydrology, geology, aquatic ecology,  
163 fisheries, wildlife management, and resource monitoring and sampling.
- 164 • The EMC’s Annual Report and Workplan—most recently completed for 2021 (EMC 2022)—is  
165 updated each year to report on progress of individual projects and to document the  
166 Committee’s ranking and selection of proposed monitoring projects. The annual allocation from  
167 the TRFR fund to the EMC for funding of monitoring research is detailed in the EMC Annual  
168 Report and Workplan. Current membership and updates on business conducted by the EMC  
169 over the course of the year are also reported in the Annual Report and Workplan. Additionally,  
170 the EMC receives priorities from Boards, Departments, and Agencies that are incorporated into  
171 its annual priorities (EMC n.d.) (see [https://bof.fire.ca.gov/media/dqxggvid/priorities-received-](https://bof.fire.ca.gov/media/dqxggvid/priorities-received-from-boards-departments-and-agencies.pdf)  
172 [from-boards-departments-and-agencies.pdf](https://bof.fire.ca.gov/media/dqxggvid/priorities-received-from-boards-departments-and-agencies.pdf); also see Appendix A).

**Commented [A4]:** This may be revised to reflect new FPRs and related regulations, or changes to Themes and Critical Monitoring Questions. Please submit any suggested edits if you see the need.

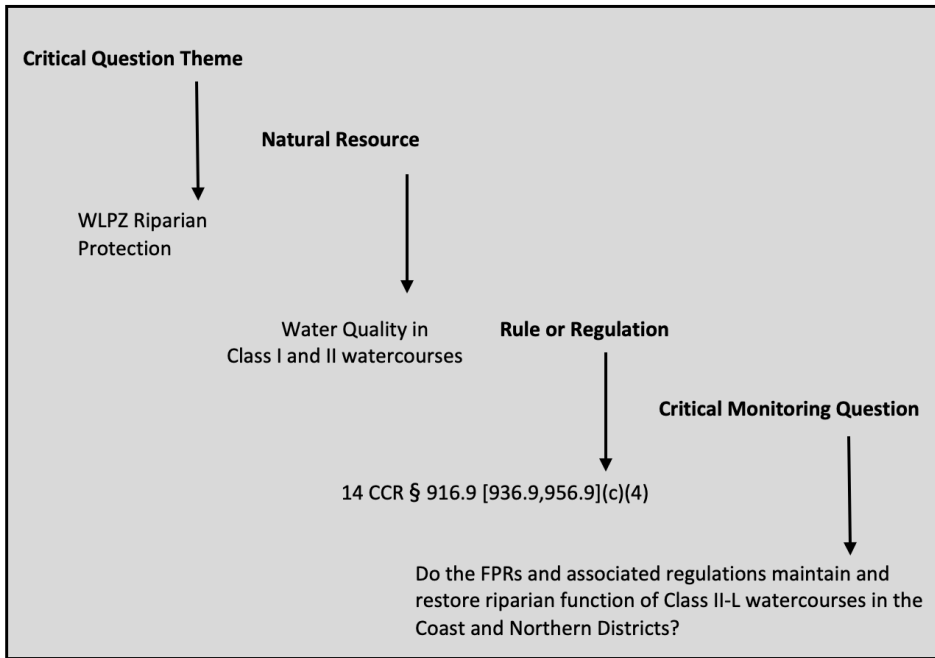
173 • The approach described in the Strategic Plan (this document) is a necessary component of AM,  
174 and the Strategic Plan will be updated approximately every three years. Section 1.0 of the  
175 document provides a brief background of the EMC. Section 2.0 describes the Strategic Plan  
176 “road map,” including the development of critical monitoring questions and associated research  
177 themes and the EMC and the Board’s roles in the AM process. Section 3.0 provides guidelines  
178 for development of EMC-funded research, such as considerations of scale in study design, and  
179 how project results are utilized in the AM feedback loop to inform policy development. Section  
180 4.0 provides a very brief description of the process utilized by the EMC to solicit, assess, and  
181 fund monitoring research projects, and describes expected outcomes of EMC-funded research,  
182 including general project deliverables.

## 183 **2.0 EMC STRATEGIC PLAN ROAD MAP**

184 To facilitate the AM process that informs proposed changes to forestry policy, the EMC supports  
185 research that evaluates the FPRs and associated regulations. This section describes the development of  
186 critical monitoring questions and related research themes that highlight gaps in knowledge related to  
187 the effectiveness of the FPRs and associated regulations; summarizes the critical monitoring questions  
188 and related themes, and their relationships to the policies, goals, and priorities of other Agencies,  
189 Departments, and Boards (EMC n.d.) (see [https://bof.fire.ca.gov/media/dqxggvid/priorities-received-](https://bof.fire.ca.gov/media/dqxggvid/priorities-received-from-boards-departments-and-agencies.pdf)  
190 [from-boards-departments-and-agencies.pdf](https://bof.fire.ca.gov/media/dqxggvid/priorities-received-from-boards-departments-and-agencies.pdf)); and describes the AM Framework, which is a process for  
191 utilizing research results to inform changes to the FPRs and associated regulations.

### 192 **2.1 Development of Critical Monitoring Questions**

193 Critical monitoring questions guide and focus research funding, and were established by the EMC via a  
194 public process in which the EMC sought and accepted priorities from a wide variety of stakeholders  
195 including agencies, departments, boards, EMC members, and the interested public (see Appendix A).  
196 Based on a review of those priorities, gaps in scientific knowledge to inform management via the FPRs  
197 and associated regulations, and public concerns, the EMC developed a final list of critical monitoring  
198 questions, which was submitted along with a draft Strategic Plan in 2017. EMC members, in conjunction  
199 with the Board, reviewed priorities and monitoring questions and assessed how well they might achieve  
200 various EMC goals and objectives as they relate to the FPRs and associated regulations. The EMC has  
201 transformed the priorities into critical monitoring questions following a specific structure which is  
202 intended to improve understanding and allow better comparisons between multiple monitoring  
203 questions (see example in Figure 1). The Board approved the list of critical monitoring questions and  
204 initial Strategic Plan on December 6, 2017.



205  
 206 **Figure 1. Example: Structure of relationships among the EMC critical monitoring questions, natural**  
 207 **resources of concern, and the California Forest Practice Rules.**

208 **2.2 EMC Themes and Critical Monitoring Monitoring Questions**

209 The EMC categorized the critical monitoring questions into eleven research themes, which are listed in  
 210 no particular order in the following text. The EMC regularly evaluates proposed research projects that  
 211 aim to address EMC critical monitoring questions, as described in the EMC Annual Report and Workplan,  
 212 which also reports on project progress, status, and results, and the selection of newly funded projects in  
 213 that year.

214 **Theme 1 Watercourse and Lake Protection Zone Riparian Function**

215 The Watercourse and Lake Protection Zone (WLPZ) FPRs were developed to ensure that timber operations  
 216 do not potentially cause significant adverse site-specific and cumulative adverse impacts to the beneficial  
 217 uses of water, native aquatic and riparian-associated species, functions of riparian zones or result in an  
 218 unauthorized take of listed aquatic species (14 California Code of Regulations [CCR] § 916 [936, 956]). The  
 219 primary objective of the FPRs is to maintain or restore riparian and aquatic functions in classified  
 220 watercourses. Both passive and active management approaches may accomplish these objectives by  
 221 incorporating options ranging from protection (passive, no touch) to active manipulation of stand  
 222 structure (e.g., timber harvest) (14 CCR § 916.9 [936.9, 956.9](v)).

223 The WLPZ FPRs can contribute toward meeting goals of the Fish and Game Commission (FGCom) and/or  
224 Joint FGCom and Board policies, including those described in the the Endangered and Threatened Species  
225 Policy, Salmon Policy, Water Policy, and Joint Pacific Salmon and Anadromous Trout Policies. In addition,  
226 the WLPZ FPRs may also contribute to meeting Basin Plan objectives.

227 Key functions of riparian zones include recruitment of large woody debris, watercourse shading, sediment  
228 filtration, nutrient input, microclimate control, streambank/hillslope stability, and habitat for terrestrial  
229 wildlife species. Riparian areas occur dynamically within watersheds adjusting to successional vegetation  
230 changes, annual hydrologic events, and other disturbances (e.g., wildfires, wind, insect damage, and  
231 diseases). The following critical monitoring questions focus on the natural processes and function of  
232 WLPZs and allow for the dynamic nature of these management areas.

233 **Are the FPRs and associated regulations effective in ...**

- 234 (a) maintaining and restoring canopy closure?
- 235 (b) maintaining and restoring stream water temperature?
- 236 (c) retaining predominant conifers in WLPZs and large woody debris input to watercourse  
237 channels?
- 238 (d) retaining conifer and deciduous species to maintain or restore riparian shade, water  
239 temperature, and primary productivity?
- 240 (e) maintaining and restoring input of organic matter to maintain or restore primary productivity as  
241 measured by macroinvertebrate assemblages?  
242 maintaining and restoring riparian function of Class II-L watercourses in the Coast District?
- 243 (f) maintaining and restoring riparian function of Class II-L watercourses in the Northern District?
- 244 (g) managing WLPZs to reduce or minimize potential fire behavior and rate of spread?
- 245 (h) filtering sediment that reaches WLPZs?

## 246 **Theme 2 Watercourse Channel Sediment**

247 The amount of hillslope erosion and sediment delivery that occurs following timber operations depends  
248 on numerous factors, including the site conditions present (e.g., slope, soil type, vegetative cover), soil  
249 disturbance, degree of proper FPR implementation, and intensity and number of large storm events  
250 following the completion of logging. Since the implementation of the modern FPRs in 1975, a primary goal  
251 of these regulations has been to limit management-related sediment delivered to watercourse channels  
252 in California to address protection of water quality and fish habitat. The FPRs have been updated  
253 numerous times in the past 40 years to reduce management-related sediment delivery. Specifically,  
254 current silviculture practice regulations (14 CCR § 913 [933, 953]); harvesting practices and erosion control  
255 measures (14 CCR § 914 [934, 954]); watercourse and lake protection (14 CCR § 916 [936, 956]); and  
256 logging roads, landings, and logging road watercourse crossings rules (14 CCR § 923 [943, 953]) provide  
257 measures to ensure timber operations meet the goals and intent of the FPRs by limiting sediment delivery  
258 to stream channels.

259 These FPRs can contribute toward meeting goals of FGCom and/ or Joint FGCom and Board policies that  
260 address protection of water quality and fish habitat, including the Endangered and Threatened Species  
261 Policy, Salmon Policy, Water Policy, and Joint Pacific Salmon and Anadromous Trout Policy. In addition,  
262 these FPRs may also contribute toward meeting Basin Plan objectives. The following critical monitoring

263 questions address erosion and sediment monitoring at both the watershed (or sub-watershed) scale and  
264 project or Plan scale (see Section 2.4.2 for a discussion of appropriate scale).

265 **Are the FPRs and associated regulations effective in minimizing management-related sediment**  
266 **delivery from forest management activities to watercourse channels ...**

- 267 (a) at the watershed and sub-watershed level in managed watersheds?  
268 (b) for individual Plans at the project level to evaluate channel response to forest management  
269 prescriptions and additional mitigation measures?

### 270 **Theme 3 Road and Watercourse and Lake Protection Zone Sediment**

271 Similar to Theme 2, the Road and WLPZ Sediment theme has been developed to answer critical monitoring  
272 questions regarding management-related hillslope erosion and sediment delivery to watercourse  
273 channels in forested watersheds, but focuses on critical monitoring questions related to the effectiveness  
274 of FPR requirements included in the recently implemented Road Rules 2013 requirements (14 CCR § 923  
275 [943, 953]). These FPRs also contribute toward meeting goals of FGCom and/or Joint FGCom and Board  
276 policies that address protection of water quality and fish habitat listed above. In addition, these FPRs may  
277 also contribute toward meeting Basin Plan objectives. The following critical monitoring questions address  
278 management-related sediment delivery from forest and road management activities to watercourse  
279 channels, which may impact water quality and adjacent fish habitat in forested watersheds.

280 **Are the FPRs and associated regulations effective in ...**

- 281 (a) reducing or minimizing management-related generation of sediment and delivery to  
282 watercourse channels?  
283 (b) reducing generation and sediment delivery to watercourse channels when timber operations  
284 implement the Road Rules 2013 measures?  
285 (c) reducing the effects of large storms on landslides as related to roads, watercourse crossings and  
286 landings?  
287 (d) maintaining or improving fish passage through watercourse crossing structures?\*

288 \* also see Section 3.2.1 for discussion of appropriate scale

### 289 **Theme 4 Mass Wasting Sediment**

290 To limit mass wasting sediment from anthropogenic sources, the FPRs require that timber operations be  
291 planned and conducted using mitigation measures that minimize sediment delivery from unstable  
292 geologic features (14 CCR § 923 [943, 953]). While considerable past monitoring efforts have addressed  
293 implementation and short-term effectiveness of FPRs designed to limit sediment entry related to surface  
294 erosion processes, less is known at a statewide scale about the success of the FPRs in preventing  
295 accelerated rates of management-related mass wasting features. This is particularly important in the  
296 California Coast Ranges and Klamath Mountains, where landslide features can be the primary mechanism  
297 of sediment delivery. Limitation of mass wasting is consistent with the goals of FGCom and/or Joint FGCom  
298 and Board policies, including the Endangered and Threatened Species, Salmon, Water, and Joint Pacific  
299 Salmon and Anadromous Trout Policies. In addition, these FPRs may also contribute toward meeting Basin  
300 Plan objectives. The following critical monitoring questions address specific mass wasting-related topics

301 to determine if the current rules and regulations are effective in avoiding and limiting management-  
302 induced landslides.

303 **Are the FPRs and associated regulations effective in minimizing sediment delivery to maintain water**  
304 **quality from ...**

- 305 (a) existing chronic unstable geologic features?
- 306 (b) mass wasting during episodic rare events and/or large storms?\*
- 307 (c) mass wasting from high risk geologic features?

308 \* also see Section 3.2.2 for discussion of rare or large event monitoring

### 309 **Theme 5 Fish Habitat**

310 Numerous FPR regulations relate to the protection of fish habitat features in forested watersheds,  
311 particularly those found in the WLPZ rule section [14 CCR § 916 (936, 956)]. Specifically, these FPRs require  
312 that timber operations be planned and conducted in a manner that provides protection for water  
313 temperature control, streambed and flow modifications by large woody debris, filtration of organic and  
314 inorganic material, upslope stability, bank and channel stabilization, and spawning and rearing habitat for  
315 salmonids [14 CCR § 916.4 (936.4, 956.4) (b)]. As stated above for the other themes, these rule  
316 requirements contribute toward meeting the goals of FGCom and/or FGCom and BOF (Joint) policies,  
317 including: Endangered and Threatened Species Policy, Salmon Policy, Water Policy, and Joint Pacific  
318 Salmon and Anadromous Trout Policy. In addition, these FPRs may also contribute toward meeting Basin  
319 Plan objectives. The following critical monitoring questions relate to maintaining and/or restoring the  
320 quality and connectivity of foraging, rearing, and spawning habitat.

321 **Are the FPRs and associated regulations effective in ...**

- 322 (a) describing and mapping the distribution of foraging, rearing and spawning habitat for  
323 anadromous salmonids?
- 324 (b) maintaining and restoring the distribution of foraging, rearing and spawning habitat for  
325 anadromous salmonids?

### 326 **Theme 6 Wildfire Hazard**

327 A goal of the FPRs is the production and maintenance of forests which are healthy and naturally diverse  
328 (14 CCR § 897). Numerous studies have shown that creating these types of forests reduces the risk of high  
329 severity wildfire (Safford et al. 2012, North et al. 2009, Omi and Martinson 2004, Martinson and Omi  
330 2003). Several FPRs address the theme of wildfire hazard, while also providing measures to ensure timber  
331 operations meet the goals and intent of the FPRs, including minimum stocking standards (14 CCR § 912.7  
332 [932.7, 952.7]); special silvicultural methods and stocking requirements (14 CCR § 961); silvicultural  
333 objectives and regeneration methods (14 CCR § 913 [933, 953]); logging slash and hazard reduction (14  
334 CCR § 917 [937, 957]); exemptions which facilitate removal of dead, dying or diseased trees (14 CCR §  
335 1038); emergency notices which also facilitate removal of burned, dead, dying or diseased trees (14 CCR  
336 § 1052); and fuel hazard reduction (14 CCR § 1051).

337 These FPRs may contribute to meeting the goals of FGCom or Joint FGCom and Board policies, including  
338 the Endangered and Threatened Species Policy; Salmon Policy; Water Policy; Joint Pacific Salmon and

339 Anadromous Trout Policy; and Interim Joint Policy on Pre, During, and Post Fire Activities and Wildlife  
340 Habitat.

341 Attention to this theme has recently been bolstered due to widespread and increasingly destructive  
342 wildland fires within the State. In 2018, Governor Brown Jr. decreed the formation of the California Forest  
343 Management Task Force (FMTF; formerly: Tree Mortality Task Force, or TMTF) via executive order (Brown  
344 Jr. 2018). The FMTF is built on a foundation of guiding land management to create healthier, more fire-  
345 resilient landscapes. The following critical monitoring questions address specific topics related to wildfire  
346 hazard reduction.

347 **Are the FPRs and associated regulations effective in ...**

- 348 (a) treating post-harvest slash and slash piles to modify fire behavior?
- 349 (b) treating post-harvest slash and retaining wildlife habitat structures, including snags and large  
350 woody debris?
- 351 (c) managing fuel loads, vegetation patterns and fuel breaks for fire hazard reduction?

352 **Theme 7 Wildlife Habitat - Species and Nest Sites**

353 A goal of the FPRs is to maintain functional wildlife habitat in sufficient condition for continued use by  
354 existing wildlife communities within the planning watershed (14 CCR § 897). More specifically, the FPRs  
355 require that timber operations shall be planned and conducted to maintain suitable habitat for wildlife  
356 species (14 CCR § 919 [939, 959]) and protection of nest sites (14 CCR § 919.2 [939.2, 959.2]). These FPRs  
357 are consistent with the goals of FGCom or Joint FGCom and Board policies, including the Endangered and  
358 Threatened Species Policy and the Raptor Policy. Similar to Themes 4 and 6, extensive effectiveness  
359 monitoring on a statewide basis has not been conducted on non-federal timberlands for this or the  
360 following wildlife habitat themes. The critical monitoring questions that follow address wildlife habitat  
361 requirements related to species and nest sites.

362 **Are the FPRs and associated regulations effective in protection of nest sites ...**

- 363 (a) following general protection measures in 14 CCR § 919.2 [939.2, 959.2](b)?
- 364 (b) following species specific habitat and disturbance measures in 14 CCR § 919.3 [939.3, 959.3]?

365 **Are the FPRs and associated regulations effective for the northern spotted owl in ...**

- 366 (a) ensuring take avoidance following 14 CCR § 919.9 [939.9] and 14 CCR § 919.10 [939.10]?
- 367 (b) ensuring take avoidance following 14 CCR § 919.9 [939.9](g)?
- 368 (c) maintaining adequate amounts of suitable habitat to protect and conserve owls?

369 **Theme 8 Wildlife Habitat - Seral Stages**

370 A goal of the FPRs is to maintain functional wildlife habitat [14 CCR §§ 897; 919 [939,959]], particularly in  
371 terms of late seral stage retention. The FPRs require Registered Professional Foresters (RPF) to provide  
372 habitat structure information for late succession forest stands proposed for harvesting that will  
373 significantly reduce the amount and distribution of late succession forest stands or their functional wildlife  
374 habitat value so that it constitutes a significant adverse impact on the environment as defined in Section  
375 895.1 (14 CCR § 919.16 [939.16, 959.16]). Additionally, Technical Rule Addendum No. 2 of the FPRs (see  
376 CAL FIRE 2020) provides specific guidance that the assessment of biological habitat conditions should

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377 consider snags and den trees, downed trees, large woody debris, multistory canopy, road density,  
378 hardwood cover, late seral forest characteristics, and late seral habitat continuity (14 CCR § 912.9 [932.9,  
379 952.9]). These FPRs appear to contribute to the goals of FGCom policies, including the Endangered and  
380 Threatened Species Policy and Raptor Policy. The following critical monitoring questions address wildlife  
381 habitat requirements related to seral stages.

382 **Are the FPRs and associated regulations effective in ...**

- 383 (a) retaining and recruiting late and diverse seral stage habitat components in WLPZs  
384 for wildlife?  
385 (b) maintaining or increasing the amount and distribution of late succession forest stands for  
386 wildlife?  
387 (c) maintaining or recruiting adequate amounts of early- and mid-seral habitats?

388 **Theme 9 Wildlife Habitat - Cumulative Impacts**

389 The FPRs require that timber operations shall be planned and conducted to maintain suitable habitat for  
390 wildlife species (14 CCR § 919 [939, 959]). Moreover, the FPRs require a Cumulative Impacts Assessment  
391 (14 CCR § 898) be completed that includes, but is not limited to, the overall biological habitat condition  
392 within both the Plan and planning area. Technical Rule Addendum No. 2 of the FPRs (see CAL FIRE 2020)  
393 provides specific guidance for the assessment of cumulative impacts to biological habitat conditions,  
394 including snags and den trees, downed trees, large woody debris, multistory canopy, road density,  
395 hardwood cover, late seral forest characteristics, and late seral habitat continuity (14 CCR § 912.9 [932.9,  
396 952.9]). With respect to terrestrial species and their habitats, these FPRs may contribute to the goals of  
397 FGCom policies, including the Endangered and Threatened Species Policy and Raptor Policy. The following  
398 critical monitoring questions that follow address cumulative biological resources-related questions for  
399 species in terrestrial habitats.

400 **Are the FPRs and associated regulations effective in ...**

- 401 (a) characterizing and describing terrestrial wildlife habitat and ecological processes?  
402 (b) avoiding significant adverse impacts to terrestrial wildlife species?

403 **Theme 10 Wildlife Habitat - Structures**

404 As previously stated other wildlife habitat themes, a goal of the FPRs is to maintain functional wildlife  
405 habitat in sufficient condition for continued use by existing wildlife communities within the planning  
406 watershed (14 CCR § 897). The FPRs require that timber operations shall be planned and conducted in a  
407 manner that maintains suitable habitat for wildlife species (14 CCR § 919 [939, 959]), and encourages  
408 retention of structural elements or biological legacies through the implementation of Variable Retention  
409 silviculture (14 CCR § 913.4 [933.4, 953.4] (d)). With respect to terrestrial species and their habitats, these  
410 FPRs may contribute to the goals of FGCom policies, including the Endangered and Threatened Species  
411 Policy and Raptor Policy. The following critical monitoring questions were designed to determine if the  
412 FPRs are effective in maintaining a proper level of structure required for wildlife habitat of terrestrial  
413 species.

414 **Is Variable Retention silviculture effective in meeting ...**



- 415 (a) ecological objectives including co-benefits?
- 416 (b) social objectives?
- 417 (c) geomorphic objectives?

418 **Are the FPRs and associated regulations effective in retaining ...**

- 419 (a) a mix of stages of snag development that maintain properly functioning levels
- 420 of wildlife habitat?
- 421 (b) native oaks where required to maintain wildlife habitat (14 CCR § 959.15)?

422 **Theme 11 Hardwood Values**

423 Hardwoods are valued as ecological, economic, and cultural resources, and in this context, refers to  
 424 trees within timberland that are not conifers, both commercial and non-commercial species, including  
 425 but not limited to: tanoak (*Notholithocarpus densiflorus*), true oaks (*Quercus* spp.), alders (*Alnus* spp.),  
 426 Pacific madrone (*Arbutus menziesii*), California bay (*Umbellularia californica*), golden chinquapin  
 427 (*Chrysolepsis chrysophylla*), and aspen and cottonwoods (*Populus* spp.). The FPRs recognize hardwood  
 428 ecological values in the Appendix to Technical Rule Addendum No. 2 of the FPRs (see CAL FIRE 2020),  
 429 wherein hardwood cover is recognized as a significant biological factor in cumulative impacts  
 430 assessments. More generally, the FPRs state that while growing trees for high quality timber, “the goal  
 431 of forest management...shall be the production or maintenance of forests which are healthy and  
 432 naturally diverse, with a mixture of trees and under-story plants [emphasis added]...” (14 CCR § 897  
 433 (b)(1)).

434 The FPRs also have special prescriptions and exemptions from normal Plan preparation for the purposes  
 435 of restoring hardwood stands (14 CCR § 913.4 [933.4, 953.4] i, (f); § 1038 (I)). Additionally, the FPRs  
 436 identify hardwoods as an important component of riparian vegetation in the WLPZ (14 CCR 916 [936,  
 437 956]). With respect to hardwoods, the FPRs may contribute toward the goals of the Joint FGCom and  
 438 Board Policy. The following critical monitoring questions were developed to determine if the FPRs are  
 439 effective in maintaining and restoring hardwoods on timberland.

440 **Are the FPRs and associated regulations effective in retaining...**

- 441 (a) diverse forests with a mixture of tree species that includes hardwoods (14 CCR § 897 (b)(1))?
- 442 (b) native oaks where required to maintain wildlife habitat (14 CCR § 959.15)?
- 443 (c) aspen stands (14 CCR § 913.4 [933.4, 953.4] (e))?
- 444 (d) California black oak (*Quercus kelloggii*) and Oregon white oak (*Quercus garryana*) woodlands (14  
 445 CCR § 913.4 [933.4, 953.4] (f); § 1038 (I))? 358

446 **2.3 Adaptive Management Framework**

447 Due to relatively small sample sizes and lack of controls for both dependent and independent variables  
 448 associated with “specific question” studies, statistically rigorous testing of water quality, aquatic habitat,  
 449 and wildlife resource questions is often difficult. However, well-developed resource monitoring  
 450 questions can improve scientific monitoring designs so as to limit spurious results and enhance the  
 451 range of inference. The Board recognizes there is scientific uncertainty in how forested ecosystems  
 452 function within the framework of managed forestlands, and in how various ecosystem components and  
 453 processes interact. Even with these known uncertainties, the EMC and Board will pursue a better

**Commented [A5]:** To address concern regarding potential loss of information in the Adaptive Management Framework section, the following comments below (encompassing Lines indicate the lines in the 2018 Strategic Plan from which this text was retained and adapted for the 2022 Strategic Plan Draft, to reassure readers that the text was not deleted, but rather rearranged and adapted for improved clarity and understanding of the Adaptive Management framework, and how the EMC utilizes this to inform policy.

**Commented [A6]:** Lines ~1257-1262 in 2018 Strategic Plan (see [https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan\\_ada.pdf](https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan_ada.pdf))

454 understanding of the effectiveness of FPRs and associated regulations utilizing this AM Framework. The  
 455 EMC therefore focuses on funding effectiveness monitoring research that feeds an information feedback  
 456 loop to inform Board policy (Figure 2). Specifically, the Board reviews results of EMC-sponsored scientific  
 457 studies to evaluate the effectiveness of the FPRs and associated regulations in meeting the goals of the  
 458 Board.

**Commented [A7]:** Lines ~1096-1102 in 2018 Strategic Plan (see [https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan\\_ada.pdf](https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan_ada.pdf))

**Commented [A8]:** Lines ~790-795 in 2018 Strategic Plan (see [https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan\\_ada.pdf](https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan_ada.pdf))

459 Additionally, the Board may also consider the following four general goals—in alignment with the  
 460 policies, goals, and priorities of other Agencies, Departments, and Boards (EMC n.d.) (see  
 461 [https://bof.fire.ca.gov/media/dqxxgvid/priorities-received-from-boards-departments-and-](https://bof.fire.ca.gov/media/dqxxgvid/priorities-received-from-boards-departments-and-agencies.pdf)  
 462 [agencies.pdf](https://bof.fire.ca.gov/media/dqxxgvid/priorities-received-from-boards-departments-and-agencies.pdf)(see Appendix A)—as part of the AM Framework:

- 463 (1) To provide compliance with the State and federal ESAs for species found on State and  
 464 private forestlands.
- 465 (2) To maintain and restore forest-dependent species on State and private forestlands.
- 466 (3) To meet the requirements of the federal Clean Water Act and Porter-Cologne Water  
 467 Quality Control Act on State and private forestlands.
- 468 (4) To keep private forestlands economically viable in the State of California, by furthering  
 469 regulatory streamlining efforts, while still enhancing California’s timberland habitat.

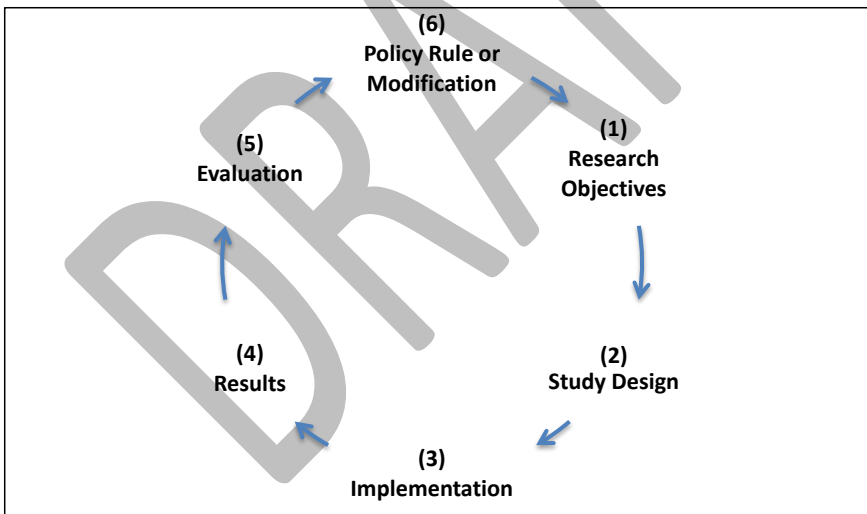
**Commented [A9]:** Lines ~795-808 in 2018 Strategic Plan (see [https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan\\_ada.pdf](https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan_ada.pdf))

**Commented [A10]:** New figure 2 to better communicate the Adaptive Management Process as it relates to the EMC and the Board, and to ensure continuity of terminology throughout the document.

Lines ~811-831 in 2018 Strategic Plan (see [https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan\\_ada.pdf](https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan_ada.pdf))

**Commented [A11]:** New figure 2 to better communicate the Adaptive Management Process as it relates to the EMC and the Board, and to ensure continuity of terminology throughout the document.

Lines ~811-831 in 2018 Strategic Plan (see [https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan\\_ada.pdf](https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan_ada.pdf))



**Figure 2. The Adaptive Management Framework using EMC-funded research to inform Board policy and regulations.**

471 When the Board reviews scientific information from EMC-funded studies it is important for Board  
 472 members to understand the overall context and implications of the research. Therefore, as part of the  
 473 AM feedback loop, the findings of the EMC-sponsored studies required a means for integrating research  
 474 results into future forest management plans, either through changed policy, landowner outreach, or a

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475 combination of approaches. To address this, the EMC developed a protocol for such an assessment—  
476 approved by the BOF in 2021—to further assist in translation of scientific results to the Board, which will  
477 aid the Board in adapting policy and regulations to reflect new information gleaned from EMC-funded  
478 research. This [Completed Research Assessment](#) (CRA) (EMC 2021) (previously known as “Science to  
479 Policy Framework”) (see [https://bof.fire.ca.gov/media/lufd3n5t/emc-completed-research-  
481 assessment\\_final\\_ada.pdf](https://bof.fire.ca.gov/media/lufd3n5t/emc-completed-research-<br/>480 assessment_final_ada.pdf)) provides a step-by-step approach to guide EMC and Board members in  
482 verifying scientific integrity and validity of the research, and interprets the results of the scientific  
483 research as to the implications for management and policy. At least two EMC members work with the  
484 Principal Investigator(s) of a project to complete the required document, which is then presented to the  
EMC and amended as necessary prior to presentation to the Board.

**Commented [A12]:** Lines ~833-836 in 2018 Strategic Plan (see [https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan\\_ada.pdf](https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan_ada.pdf))

485 This process provides an avenue for members to report to the Board with an objective assessment of  
486 the trade-offs and outcomes of different management practices based on EMC-funded research results,  
487 as described in the CRA guidelines (EMC 2021). The role of the EMC is not to determine the “best”  
488 course of action for policymakers or managers; rather, it is to provide the Board details as to the  
489 strength of the science conducted and an assessment of possible policy implications based on science  
490 results. Thereafter, the Board determines whether rule changes and policy changes are merited given  
491 that information.

**Commented [A13]:** This text—describing a new procedure put in place to achieve the aforementioned objectives—replaces Lines ~834-848 in 2018 Strategic Plan, and incorporates all the intent of the original text (see [https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan\\_ada.pdf](https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan_ada.pdf))

**Commented [A14]:** Lines ~954-959 in 2018 Strategic Plan (see [https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan\\_ada.pdf](https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan_ada.pdf))

### 492 3.0 GUIDELINES FOR EMC-FUNDED RESEARCH

493 New research proposals are assessed by the EMC for scientific soundness and integrity, and the  
494 likelihood and ability of the proposed research in answering the critical monitoring questions. This  
495 section describes acceptable study designs and methods that EMC-supported research projects should  
496 generally follow, including content on: recommended protocols for field and laboratory methods;  
497 selection of appropriate temporal and geographic scale; statistical analysis; reporting guidance and  
498 assessment; evaluation and utilization of project results; how the AM framework may be utilized to  
499 evaluate the relationships between scientific research results and Board-developed policies; and how  
500 policy (i.e., the FPRs and associated regulations) may need to be altered in response to project results.

**Commented [A15]:** New introductory paragraph.

#### 501 3.1 Study Design within an Adaptive Management Framework

502 The goal of any EMC effectiveness monitoring study design is to determine if the FPRs and associated  
503 regulations related to natural resources management are maintaining and/or restoring desired  
504 ecological conditions. The goal of environmental monitoring studies is to detect changes from individual  
505 and cumulative effects of activities that are both spatially and temporally distributed across plan areas.  
506 Results will be used in an AM framework to determine the appropriateness of policies and practices, and  
507 to revise or craft new management practices, policies, or regulations when the current ones do not  
508 meet desired results.

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509 Adaptive management “provides a framework for making good decisions in the face of critical  
510 uncertainties, and a formal process for reducing uncertainties so that management performance can be  
511 improved over time” (Williams et al. 2009). The AM process facilitates learning “not by trial and error,  
512 but by a structured process,” resulting in reduced uncertainty (Allen and Gunderson 2011). To further  
513 account for the complexity and uncertainty surrounding natural resource management, EMC-sponsored

**Commented [A17]:** Lines ~903-905 in 2018 Strategic Plan (see [https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan\\_ada.pdf](https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan_ada.pdf))

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514 study protocols, and EMC and Board responses to results, will be embedded within an adaptive resource  
515 management model (Williams et al. 2009), summarized as:

- 516 (1) Define research objectives and scope of management to be studied
- 517 (2) Develop operational plans to meet the objectives
- 518 (3) Implement plans
- 519 (4) Collect information about impacts of plans
- 520 (5) Evaluate collected information in light of stated objectives
- 521 (6) Adjusting plans as informed by new information

522 Each of the steps in the AM cycle, and its relevance for the EMC, is elaborated below.

523 ***(1) Define research objectives and scope of management to be studied.***

524 Studies considered by the EMC must be designed to address: (1) existing or proposed forest  
525 management practices; and (2) objectives as defined through legislation (e.g., ESA, FPA), FPRs and  
526 associated regulations, and/or by stakeholders. Studies should state the management objectives being  
527 addressed, and include relevant research questions, which can include ecological, economic, and social  
528 metrics, as appropriate. Objectives should be attainable with the data collection and analysis methods  
529 described. This step in the AM cycle is paralleled by Step a-1 (Critical Scientific Question and Monitoring  
530 Plan/Research Objectives) in the Adaptive Management Framework (Figure 2).

531 ***(2) Develop operational plans to meet objectives -AND- (3) Implement plans.***

532 The EMC will support evaluation of project impacts from forest management activities implemented by  
533 landowners, managers, and researchers, which may include any activities of interest described in the  
534 Plan (e.g., a THP). Research designs may be observational (e.g., testing existing management or  
535 conditions, or analyzing existing datasets) or experimental. In either case, anticipated outcomes of  
536 forest management and contributions toward achieving defined objectives will be described based on a  
537 thorough literature review outlining existing knowledge and research gaps.

538 Studies will develop sampling designs using peer-reviewed literature or pilot tests to determine  
539 population variability (if applicable), and will include statistical power analyses to determine adequate  
540 sample sizes and ensure that differences, if present, can be detected with the selected experimental and  
541 analytical methods. Scale may play an important role in detecting statistically significant differences, and  
542 can strongly impact variability (see Section 2.4.2 for a discussion of scale). The high natural variability  
543 commonly found in natural systems can make finding appropriate comparative groups difficult, as the  
544 goal is to have these groups as similar to each other as possible to allow for the detection of differences.

545 Monitoring studies must have valid study designs to ensure proper inference and application of study  
546 results to management. There are a variety of potential approaches to design effectiveness monitoring  
547 studies. For example, populations may be sampled by comparing response variables from one set of  
548 existing management practices with another set (e.g., treatment-control). A second approach is through  
549 the use of experiments where treatments are deliberately prescribed and randomly assigned to  
550 experimental units. The advantage of the experimental approach is that the treatments may be of  
551 greater or different forest management intensities than the current FPRs allow, and the results of an  
552 experiment can provide information that would not be available from a simple observational study. This

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**Commented [A21]:** Lines ~908-913 in 2018 Strategic Plan (see [https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan\\_ada.pdf](https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan_ada.pdf))

553 step in the AM cycle is paralleled by Steps ~~b-2 (Monitoring-Design and Implementation)~~ Study Design) and  
554 3 (Implementation) in the Adaptive Management Framework (Figure 2).

**Commented [A22]:** Lines ~915-935 in 2018 Strategic Plan (see [https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan\\_ada.pdf](https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan_ada.pdf))

555 **(4) Collect information about impacts of plans.**

556 The EMC will rely on information collected through monitoring, which can take multiple forms, including  
557 baseline monitoring (measuring current conditions); trend monitoring (measuring attributes over time);  
558 effectiveness monitoring (measuring whether objectives of a project have been met); and validation  
559 monitoring (testing whether models are accurate). In particular, anadromous fish monitoring warrants  
560 additional consideration when developing monitoring methods. Anadromous fish reside most of their  
561 adult life in the ocean and return to freshwater to spawn; although, juveniles and adults of some species  
562 may hold in freshwater for extended periods while others spend more of time in the ocean. Chinook  
563 salmon (*Oncorhynchus tshawytscha*), coho salmon (*Oncorhynchus kisutch*), and steelhead trout  
564 (*Oncorhynchus mykiss*) in California have complex life cycles, not only among the different species, but  
565 also among the different runs (e.g., winter vs. spring run) of species. This complexity, along with the  
566 quality and/or abundance of available data and other confounding factors (e.g., climate change, ocean  
567 conditions, predator-prey dynamics, etc.), may cause difficulties in identifying correlations between  
568 fisheries populations and timber harvesting practices or restoration projects, particularly at the reach or  
569 watershed scale. Determining impacts to fish populations requires intensive, multi-year monitoring, as  
570 long-term trends may not be detectable for many years due to high natural variability, as well as the  
571 complexity and variation of life histories. Habitat data are relatively easy to collect, less costly, and less  
572 intensive than monitoring for populations. It is also relatively easier to document changes—positive or  
573 negative—from timber harvesting practices or restoration projects at a reach or watershed scale within  
574 a short timeframe. Various types of stream habitat monitoring allow managers to make inferences on  
575 potential impacts to fish populations from timber operations. For these reasons, the EMC will focus  
576 primarily on stream habitat monitoring and, when available, will use fish population data as a basis to  
577 evaluate the effectiveness of specific FPRs and associated regulations.

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578 Research Results will be collected to answer critical monitoring questions about the impacts of the  
579 activities being evaluated. This step in the AM cycle is paralleled by a portion of Step ~~e-4 (Monitoring~~  
580 Results) in the Adaptive Management Framework (Figure 2).

**Commented [A24]:** Lines ~1066-1093 in 2018 Strategic Plan (see [https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan\\_ada.pdf](https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan_ada.pdf))

581 **(5) Evaluate collected information in light of stated objectives.**

582 The EMC will evaluate the results for evidence of consistency with the project’s identified objectives.  
583 Analysis of the data will frequently take the form of statistical analysis, using either frequentist or  
584 Bayesian statistical methods. However, data may take multiple forms and they should be analyzed  
585 according to the research questions posed. At times, analysis and subsequent inference may need to  
586 rely on expert opinion, especially when statistical analysis is inconclusive. This step in the AM cycle is  
587 paralleled by a portion of Step ~~e-5 (Evaluation)~~ in the Adaptive Management Framework (Figure 2).

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588 **(6) Adjust plans as informed by new information.**

589 Research results can be utilized to determine if changes in the FPRs and associated regulations outside  
590 the existing allowed practices might be advisable. Final project reports are presented to the EMC and  
591 the Board, and refined in an iterative and interactive process at publicly-noticed open meetings led by  
592 the EMC, followed with review by the Board. If determined to be prudent, proposals for changes to

**Commented [A26]:** Lines ~943-947 in 2018 Strategic Plan (see [https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan\\_ada.pdf](https://bof.fire.ca.gov/media/b5vdivfj/9a-2018-emc-strategic-plan_ada.pdf))

593 regulations may follow as initiated by the Board and standing committees, and the Forest Practice  
594 Committee (FPC) in particular. This step in the AM cycle is paralleled by Step d (Policy or Rule  
595 Modification) and Step e-6 (Policy Formation-Rule or and Implementation-Modification) in the Adaptive  
596 Management Framework (Figure 2).

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## 597 3.2 Additional Study Design Considerations

### 598 - 3.2.1 Appropriate Scale

599 This section provides guidance for the selection of appropriate spatial and temporal scales when  
600 designing a monitoring study. The selection of appropriate scales for a monitoring study requires a  
601 review of current knowledge and professional judgment. Selection must correspond to the specific study  
602 objectives, which should define the resource of concern (e.g., water quality), the controlling factors  
603 affecting the resource, and the geographic scope of those controlling processes (e.g., hillslope, reach,  
604 or watershed scale). Using an AM framework, experience and refinements made from initial study  
605 phases can be used to adjust temporal and spatial scales so that study objectives are achieved. To  
606 address more complex study objectives, a monitoring plan framework of nested and cross-referenced  
607 monitoring studies at a range of scales can be applied (MacDonald 2000). Such a framework can be used  
608 to identify linkages and increase certainty in cause and effect relationships for complex studies, as well  
609 as save on costs and resources over time (Cafferata and Reid 2013).

#### 610 *Spatial or Geographic Scale*

611 Spatial scale defines the geographic area of a study such as a road segment, hillslope, or watershed.  
612 Typically, monitoring at large spatial or temporal scales increases the number and complexity of  
613 controlling processes, making it sometimes difficult to discern specific linkages between a controlling  
614 process and resource of concern. This can add uncertainty to study findings (MacDonald and Coe 2007).  
615 Consequently, monitoring projects should focus on the smallest spatial and temporal scales necessary to  
616 achieve the study objectives.

#### 617 *Temporal Scale*

618 Temporal scale defines the time period of interest; in forest practice, this may be as short as one storm  
619 event, or could span several decades. Most FPR effectiveness monitoring studies to date have been  
620 conducted at the site scale (e.g., road segment, harvest unit, stream reach) and are directed at  
621 prescription effectiveness over one- to four-year periods (e.g., Brandow and Cafferata 2014). For studies  
622 conducted over time with repeated measures, controlling processes should be identified as  
623 deterministic or stochastic.

624 Deterministic processes are finite and produce the same result for a given set of input variables,  
625 whereas stochastic (i.e., probabilistic) processes are indeterminate—they produce a range of possible  
626 outcomes defined by a probability distribution. The temporal scale of a study should be at least as long  
627 as the duration (including lag times) of controlling processes relevant to the study objectives. Temporal  
628 and spatial scales are not effortlessly separated, and knowledge of variability over time and space is  
629 necessary to effectively allocate monitoring efforts (Bunte and MacDonald 1999).

630 - **3.2.2 Rare or Large Event Monitoring**

631 An effectiveness monitoring program that relies on annual measurements may not capture the  
632 information necessary to determine the effectiveness of the FPRs relative to large, frequent, or rare  
633 events. Kirchner et al. (2001) found that catastrophic erosion events are infrequent and of short  
634 duration, but can control long-term sediment yield, although they also noted that management  
635 activities may alter the probability or magnitude of catastrophic events. Since these events are rare and  
636 can be difficult to capture with infrequent or short-term monitoring, they should be proactively targeted  
637 for effectiveness monitoring. Therefore, a different approach to standard monitoring is required to  
638 detect and respond to large or rare events immediately following occurrence and thereafter. This type  
639 of monitoring will require that a reserve of funds is set aside to respond immediately following the  
640 occurrence of such events to determine the effectiveness of the FPRs—an approach sometimes referred  
641 to as “post-mortem” monitoring (Stewart et al. 2013).

642 A critical component of any monitoring or research design is to identify the potential for rare or large  
643 events that would trigger the need for “post-event” monitoring, and allocate needed resources should  
644 such an event occur. Timing can be critical, as much of the forestry monitoring or research evidence can  
645 quickly fade away or be lost during restoration activities or other management activities.

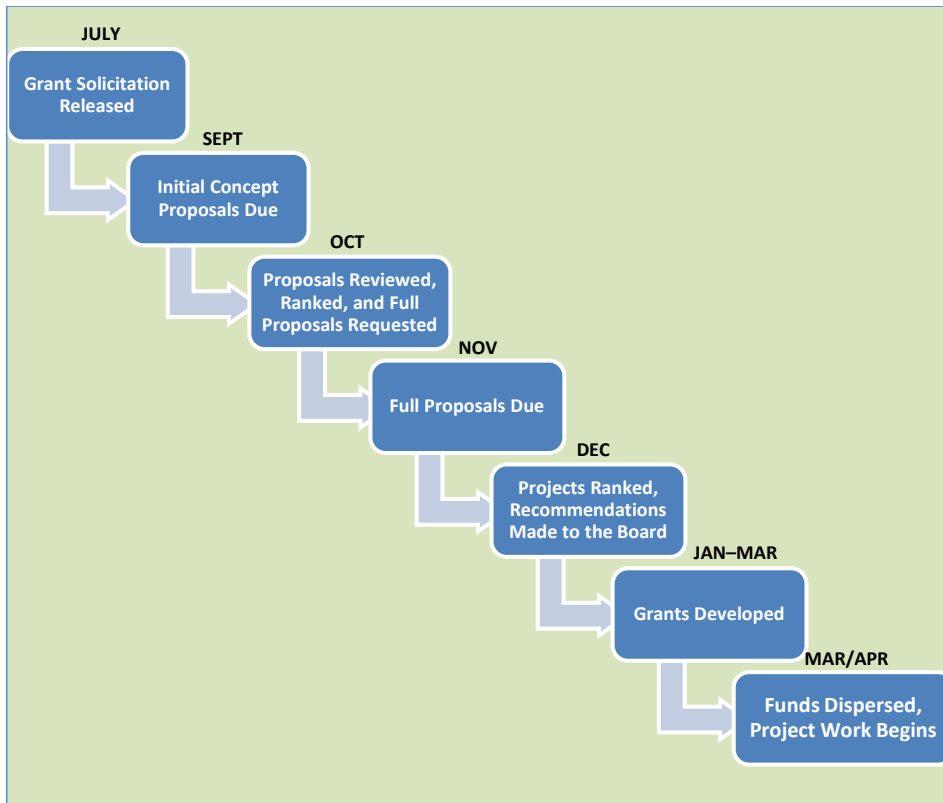
646 Once a rare or large event has occurred, the following procedure should be implemented:

- 647 ( 1 ) The project proponent will notify the EMC as soon as possible regarding the event; the  
648 EMC will work with the project proponent to review the event and determine if the  
649 event qualifies as a rare or large event, as identified in the study plan.  
650 ( 2 ) The pre-approved study plan will be reviewed and modified to best match the  
651 conditions that resulted from the rare or large event. Minor adjustments to the  
652 monitoring or research plan should be made and then executed without delay.

653 **4.0 EMC PROJECT DEVELOPMENT AND MANAGEMENT**

654 **4.1 Project Solicitation and Initial Review**

655 The EMC generally awards effectiveness monitoring research projects on an annual basis. In fiscal year  
656 (FY) 2021/2022 and prior, projects were awarded as contracts. Beginning in 2022/23 FY, projects will be  
657 solicited through a once-a-year Grant Solicitation. The solicitation for project proposuall is usually  
658 released at the start of the FY in July (also see Figure 3 for general timeline), although the solicitation  
659 may be released sooner in future years. Prospective projects must be proposed to the EMC using the  
660 Initial Concept Proposal (ICP), which is a form that must be submitted electronically by a specified date  
661 and time (typically September). All ICPs that are not submitted by the specified deadline in the  
662 solicitation, are not complete, or are outside the scope of the EMC will be rejected. All ICPs that are not  
663 submitted by the specified deadline in the RFP, are not complete, or are outside the scope of the EMC  
664 will be rejected.



665  
666 **Figure 3. EMC Project Solicitation, Submission, Selection, and Funding General Timeline.**

667 The EMC conducts a preliminary technical review at a publicly-noticed open meeting, considering the  
 668 completeness of the proposals and whether they are within the scope of the Themes and Critical  
 669 Monitoring Questions elaborated in Section 2.2). At this meeting, which typically occurs in the late  
 670 summer or fall, the EMC sends an email invitation the Principal Investigator (PI) for any ICPs on which it  
 671 would like to see a Full Project Proposal (FPP). Detailed instructions for completing and submitting the  
 672 ICP are given in the solicitation, which can be found on the EMC website ([https://bof.fire.ca.gov/board-](https://bof.fire.ca.gov/board-committees/effectiveness-monitoring-committee/)  
 673 [committees/effectiveness-monitoring-committee/](https://bof.fire.ca.gov/board-committees/effectiveness-monitoring-committee/)) under the section titled “Project Applicants”, along  
 674 with other related documents (i.e., the ICP and FPP).

675 **4.2 Project Ranking and Selection**

676 Applicants may reference the CRA (EMC 2021), which provides information on how projects will be  
 677 evaluated once complete, which provides further guidance as to the expectations of EMC-funded  
 678 research. The EMC will conduct a thorough technical review of all FPPs that are received by the



679 indicated due date. When a FPP is deemed complete and ready for ranking, EMC members will  
680 individually rank each project and the average ranking score will be calculated for each project. No  
681 specific minimum average ranking score is required for support; rather, individual project scores will be  
682 considered relative to other project scores.

683 Once all FPPs have been ranked, the EMC members discuss the projects in detail, and vote whether or  
684 not to allocate available EMC funds to the project proposed, taking into consideration the project  
685 ranking score, likelihood of effectively testing the effectiveness of the FPRs, and the requested budget.  
686 Ranking, discussion, and voting takes place during regular, publicly-noticed meetings of the EMC. The  
687 EMC may decide to recommend funding a proposal in full, in part, or not at all. The Board will make the  
688 final funding decision.

689 Subsequent to ranking actions, both written notes of the meeting and ranking results are published on  
690 the EMC's website. Principal Investigators will be notified of their project ranking, and any comments  
691 regarding their project referred to them from the Committee.

#### 692 - **4.2.1 Ranking Metrics**

693 The metrics used for ranking proposed EMC projects were modeled on the Cooperative, Monitoring,  
694 Evaluation and Research Committee (CEMR) (established by the State of Washington Forest Practices  
695 Board) general method for ranking projects. This was deemed prudent during the initial formation of the  
696 EMC, as CEMR is roughly similar in scope and mission as the EMC, and is a well respected governmental  
697 advisory committee (see [https://www.dnr.wa.gov/about/boards-and-councils/forest-practices-  
698 board/cooperative-monitoring-evaluation-and-research](https://www.dnr.wa.gov/about/boards-and-councils/forest-practices-board/cooperative-monitoring-evaluation-and-research)). Proposals will be evaluated based on the  
699 guidelines described in Section 3.0, and ranked in five categories (see Figure 4). Projects will receive  
700 higher ranking when they have a broad array of collaborative partners involved with substantive  
701 expertise in the proposed study. This is to encourage multidisciplinary approaches in the proposals.  
702 Project proponents are encouraged to collaborate with state and federal agencies, universities, private  
703 industry, non-governmental organizations (NGOs), watershed groups, and others. Past performance  
704 in delivering timely, acceptable monitoring reports within available budgets will be considered.

705

<ul style="list-style-type: none"> <li> <b>Critical Question(s)</b> </li> </ul>	<p>Proposed monitoring project addresses one or more EMC critical monitoring questions with appropriate study design and experimental methods. Projects addressing multiple themes and critical monitoring questions will be ranked higher. Approximate time frame required for results that may be used by the Board in an evidence-based approach in rule revision(s) will also be considered.</p>
<ul style="list-style-type: none"> <li> <b>Scientific Uncertainty</b> </li> </ul>	<p>Projects will be ranked higher when the current scientific understanding of effectiveness in the FPRs and associated regulations is incomplete or not validated. This ranking is weighed twice (2 times) the weight of other rankings.</p>
<ul style="list-style-type: none"> <li> <b>Geographic Application</b> </li> </ul>	<p>Proposed project has broad geographic application to California forestlands—both public and private—will be ranked higher than those with limited geographic applicability. Projects need not be physically located in California to produce findings that apply to multiple areas in the State.</p>
<ul style="list-style-type: none"> <li> <b>Collaboration &amp; Feasibility</b> </li> </ul>	<p>Projects with relatively more actively contributing collaborators with substantive expertise and multi-disciplinary approaches will rank higher. Feasibility of monitoring project to meet stated goals and objectives within expected budget and timelines needed by the EMC, Board or stakeholders.</p>

On a categorical scale of 1 to 5, reviewers should refer to the following guidance when reviewing and ranking a proposal:

- 1 = Does not meet any portion of the Ranking
- 2 = Does not meet key portions of the Ranking
- 3 = May meet some portions of the Ranking, either key or ancillary
- 4 = Meets key portions of the Ranking and does not address ancillary portions
- 5 = Meets all portions of the Ranking

706 **Figure 4. Ranking of proposed effectiveness monitoring projects.**

707 - **4.2.1 Consideration of Funding Request**

708 The EMC reports the amount of funding requested, but it is not a ranking criterion. The proposed  
709 monitoring projects need to describe existing collaboration and funding sufficient to ensure achieving  
710 the stated goals and objectives of monitoring. Proposals must clearly state the amount of funding  
711 requested from the EMC. Project proponents shall provide the information on the requested funding in  
712 proportion to the total project budget, and any sources, types, and amounts of matching funding or  
713 other resources.

714 **4.3 Project Management**

715 The following describes the process of contract development, implementation, periodic management  
716 and assessment, and final reporting.

717 - **4.3.1 Contract Development and Administration**

718 Contracts will be developed by Board staff under guidance of CAL FIRE contracting staff. It is critical that  
719 project selection be completed as early as possible in the fiscal year to ensure that contract deadlines  
720 can be met and funds encumbered in the appropriate fiscal year. The EMC is investigating a grant  
721 program as a means of distributing funding on future projects and will continue to evaluate the merits of  
722 instituting a such a program in FY 2022/23.

723 - **4.4.2 Status Reports and Presentations**

724 EMC members and staff, as well as Board and agency staff as needed, will work closely with with  
725 Principal Investigators to manage the current and ongoing project workload. The EMC implemented a  
726 new communication system in 2020 in which individual committee members are assigned as Project  
727 Liaisons, and regularly check-in with PIs to ensure project progress and deliverables are on track for EMC  
728 and Board review. Project Liaisons or PIs are also asked to provide project updates at regularly  
729 scheduled EMC meetings., approximately four times per year. Co-chairs will brief the Board during EMC  
730 updates as needed. Principal Investigators will provide at least bi-annual updates on project status and  
731 progress by no later than June 30<sup>th</sup> and December 31<sup>st</sup> of each year. Presentations may be requested by  
732 the EMC when key results have been collected, or events have occurred that impact the project, and PIs  
733 may also initiate project presentations at committee meetings.

734 - **4.4.3 Final Reports, Presentations, and Publications**

735 Final deliverables will vary depending on the project proposal and agreed-upon deliverables. Any project  
736 presentations are given during open, publicly-noticed meetings of the EMC. In general, a final project  
737 report and a live presentation should be provided by the PI to the EMC. Reports shall include  
738 descriptions of purpose and need, scientific methods, technical and/or statistical analysis, results,  
739 evaluation of implications for resources and forest management operations, and scientific uncertainties  
740 or possible limitations of results. Any publications, presentations, or other forms of project reporting  
741 given to other organizations, or published papers or reports, should also be shared with the EMC within  
742 12 months of official publication date, and these will be posted to the EMC website.

743 Two members of the EMC works with the PI to synthesize project results into the CRA for translation of  
744 scientific results to the EMC, and these members will present the results of the CRA to the EMC at an  
745 open, publicly-noticed meeting. Reports and presentations in any form shall not provide policy or  
746 regulatory recommendations, other than ideas for potential further refinement of study methods to  
747 address any significant limitations and remaining scientific uncertainty. All final reports will be made  
748 available to the public on the EMC webpage. Development of possible rule language changes based on  
749 results and findings of EMC reports, if necessary, shall be proposed by or brought before the Board's FPC  
750 for review and comment prior to submittal to the full Board.

751 **4.4 EMC Supported Monitoring Projects**

752 Details on past and current EMC supported projects are available on the EMC Website  
753 (<https://bof.fire.ca.gov/board-committees/effectiveness-monitoring-committee/>), and include project  
754 proposals along with all other deliverables related to the project, including presentations, videos,  
755 [technical reports, or other products](#). The EMC Annual Report and Workplan, most recently published in

756 January 2022 (EMC 2022) also provides detailed status updates on active or recently completed EMC-  
757 funded projects.

758 **5.0 SUMMARY**

759 In summary, the EMC supports and funds effectiveness monitoring research that seeks to answer or  
760 further clarify information about critical monitoring questions related to the impacts of the FPRs and  
761 related regulations (Section 2.2). Based on resultant scientific reports, presentations, publications, and a  
762 final assessment (i.e., CRA), the EMC translates the results of research to the Board, which utilizes an  
763 iterative Adaptive Management Framework to further refine forestry-related rules and regulations  
764 based on evidence-based effectiveness monitoring.

DRAFT

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912 **6.0 — APPENDIX**

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913 **APPENDIX A — PRIORITIES RECEIVED FROM BOARDS, AGENCIES AND STAKEHOLDERS**

914 **— Appendix A.1, Board of Forestry and Fire Protection**

915 The Board is required to develop and maintain a system of forest practice regulations (FPRs) applicable  
916 to timber management on State and private timberlands. Public Resource Code (PRC) § 4551 requires  
917 the Board to "...adopt district forest practice rules... to ensure the continuous growing and harvesting of  
918 commercial forest tree species and to protect the soil, air, fish, wildlife, and water resources...", while  
919 PRC § 4553 requires the Board to continuously review the rules in consultation with other interests and  
920 make appropriate revisions.

921 In order to assist the Board in the maintenance of its regulations, the Board distributes an Annual Call  
922 for Regulatory Review to the regulated public and agency representatives. This process allows the Board  
923 to accept written and oral comments from stakeholders on issues of interpretation, compliance, clarity,  
924 and inefficiency of the FPRs. The culmination of this process results in the Board's standing committees  
925 annually modifying their priorities depending on severity of issues and problems facing California's  
926 landscapes. For the most recent version of standing committee priorities, please see Appendix A of the  
927 Board Annual Report located here: <http://www.bof.fire.ca.gov/>.

928 In addition to the FPRs, the Board has established several joint policies with the California FGCom that  
929 should be considered when setting monitoring priorities. These joint policies include Pacific Salmon and  
930 Anadromous Trout (FGCom 2009), Hardwoods (FGCom 1994<sup>b</sup>), and Pre, During and Post Fire Activities  
931 and Wildlife Habitat (FGCom 1994).

932 The EMC is a relatively new addition to the Board's structure. EMC funding is directed at projects that  
933 directly test the FPRs and can inform the Board on the efficacy of their existing regulations. It is the  
934 Board's vision that the findings of EMC funded projects will assist in the future development and  
935 maintenance of both policy and regulatory schemes to further the mission of the Board.

936 The Board understands that natural processes are complex and highly variable over time and space, and  
937 also that the current knowledge of these processes and their linkages are imperfect. However, it is also  
938 known that on-site control of potential impacts offers the most direct and rapid mitigation of potential  
939 impacts, and monitoring the effectiveness of these controls provides the best opportunity to increase  
940 our understanding of cause and effect relationships (i.e. linkages) between management and potential  
941 impacts to public trust resources. If potential adverse impacts are minimized at the local scale, there  
942 should be reduced potential cumulative effects at a larger scale (MacDonald 2000). To attempt to  
943 address cumulative effects the Board made three recommendations relevant to the EMC: (1) focus on  
944 effectiveness monitoring activities to support adaptive management approaches (MacDonald 2000), (2)  
945 research new computer modeling to improve analysis (Benda et al. 2007), and (3) improve collection of  
946 information from on-going analysis to create watershed databases for agencies and public use. The  
947 Board supports EMC efforts focusing upon project review, funding, tracking, and reporting to assist the  
948 Board in addressing Board and committee priorities.

949 **—Appendix A 2. California Department of Fish and Wildlife**

950 CDFW suggests a number of FPRs have long warranted monitoring for their effectiveness in ensuring  
951 timber operations do not cause or aggravate significant direct or cumulative effects on the environment  
952 and help to conserve public trust resources. In particular, there is a paucity of information collected on  
953 the FPRs effectiveness regarding direct and cumulative effects on terrestrial wildlife resources. These  
954 include FPRs intended to protect sensitive and other special-status species, maintain and recruit key  
955 habitat elements (e.g., snags), maintain late-succession forest stands, and avoid habitat fragmentation  
956 and/or maintain habitat connectivity. The effectiveness of the FPRs, individually and cumulatively should  
957 be effective in meeting the objectives stated under 14 CCR § 897 “Implementation of the Act Intent”,  
958 including:

959 “(B) Maintain functional wildlife habitat in sufficient condition for continued use by the existing wildlife  
960 community within the planning watershed and, (C) Retain or recruit late and diverse seral stage habitat  
961 components for wildlife concentrated in the WLPZs and as appropriate to provide functional  
962 connectivity between habitats.”

963 Additionally, many FGC statutes and FGCom policies apply to timber operations regulated by the FPRs.  
964 For example, FGC statutes that provide CDFW with authority over lake and streambed alterations (FGC §  
965 1600 *et seq.*), over species designated as threatened or endangered under the California ESA (FGC §  
966 2050 *et seq.*), and over pollution (FGC § 5650 *et seq.*) are commonly encountered during review of Plans.  
967 In addition, policies set forth by the FGCom, such as the Raptor Policy, guide CDFW activities and  
968 coincide with the intent of the FPRs (FGC § 702 *et seq.*). Overall, effective FPRs, FGC statutes, and  
969 FGCom policies related to fish and wildlife values should support forest ecosystem function, structure,  
970 and species composition within defined ranges that constitute properly functioning conditions.

971 **—Appendix A 3. State and Regional Water Quality Control Boards**

972 The Water Boards’ priorities are to participate in and support monitoring designed to increase our  
973 understanding of the effectiveness of FPRs and associated regulations in protecting the beneficial uses  
974 of water from existing and potential impacts of forest management. Monitoring studies should be  
975 designed to evaluate the effectiveness of specific FPRs and the associated regulations’ effect on long-  
976 term watershed trends. Studies can also facilitate adaptive management to improve water quality  
977 protection provided by the FPRs and associated regulations.

978 While modern forestry practices have substantially improved since the passage of the Z’Berg-Nejedly  
979 FPA in 1973 (Board 2014), the cumulative effects of past and ongoing land uses have degraded the  
980 ecological condition of aquatic ecosystems and beneficial uses of water in forested watersheds  
981 throughout the State. In response, the Water Boards’ priorities, as directed by the Porter-Cologne Water  
982 Quality Control Act and policies such as the Anti-degradation Policy (Resolution 68-16), are to restore  
983 impaired waterbodies and their watersheds and to protect those waterbodies that are not impaired.

984 To that end, it is necessary to evaluate the effectiveness of the FPRs and associated regulations in  
985 sustaining or improving aquatic ecosystem and watershed conditions, as measured through factors such  
986 as: preventing or minimizing sediment discharge; restoring impaired aquatic and riparian function; and  
987 preserving and restoring cold water for beneficial uses through retaining and enhancing effective shade  
988 on watercourses. In order to meet these needs, the spatial and temporal scale of monitoring will vary  
989 from short term site specific or project specific, to long term watershed or regional scales. Additional

990 studies and methods are needed to evaluate known or suspected water quality factors in timberland  
991 watersheds, such as fuel loading in WLPZs, changes to vegetation community diversity, effects of road  
992 system design alternatives and road density, effects of large scale canopy reduction on a catchment  
993 scale, fuel breaks encroaching into riparian zones, and management practices applied during and after  
994 timber harvest activities in wildfire-affected areas.

#### 995 **—Appendix A 4. California Natural Resources Agency**

996 The mission of CNRA is “To restore, protect and manage the State’s natural, historical and cultural  
997 resources for current and future generations using creative approaches and solutions based on science,  
998 collaboration and respect for all the communities and interests involved.” CNRA provides the primary  
999 leadership for the AB 1492 Timber Regulation and Forest Restoration Program, working in close  
1000 collaboration with the timber harvest Review Team agencies and the California Environmental  
1001 Protection Agency. Relevant to the functions of the EMC, AB 1492 includes:

- 1002 • Legislative intent to “Promote transparency in regulatory costs and programs through the  
1003 creation of performance measures and accountability for the State’s forest practice regulatory  
1004 program and simplify the collection and use of critical data to ensure consistency with other  
1005 pertinent laws and regulations.” [Public Resources Code § 4629.2(f)].
- 1006 • A requirement for regular reporting to the Legislature that includes evaluating ecological  
1007 performance. [Public Resources Code § 4629.9(a)(8)(F)]

1008 Evaluation of the effectiveness of the Forest Practice Act (FPA) and Rules and other related timber  
1009 harvesting statutes and regulations, the role of the EMC, is a very important element in achieving these  
1010 directions from AB 1492. The EMC’s creative, scientific, collaborative approach also is consistent with  
1011 the CNRA mission statement.

#### 1012 **—Appendix A 5. California Geological Survey**

1013 California Geological Survey (CGS) priorities focus on increasing our understanding of the FPRs  
1014 effectiveness with regard to mass wasting, erosion, fluvial processes, and the construction techniques  
1015 used for facilities such as roads, landings, and watercourse crossings. Management activities that affect  
1016 these geologic processes have the potential to create local and cumulative effects to resources, and in  
1017 some cases public safety. Due to the diverse geologic, topographic, and climatic conditions across the  
1018 State, forest management activities also have the potential to result in different levels of impact in  
1019 specific terrain (e.g., steep convergent slopes vs. gentle convex slopes), in different portions of the State  
1020 (e.g., areas with high rainfall and weak geologic materials vs. areas with lower rainfall and strong  
1021 geologic materials), as well as when the activities are conducted (e.g., during the winter vs. the  
1022 summer), and what activities are conducted (e.g., tractor vs. cable harvesting; road construction vs. no  
1023 road construction; or, selection vs. clearcut silviculture). Where and when forest management activities  
1024 are conducted, as well as the practices employed, are critical to FPRs effectiveness. Monitoring  
1025 activities that evaluate the geologic and construction practices above must take into account the  
1026 geographic and temporal conditions where they are employed, and recognize that stochastic events  
1027 (such as significant storms, rain-on-snow events, large earthquakes, and large wildfires) often have  
1028 profound effects on the landscape. These events will also have a significant effect on the results of  
1029 monitoring activities (e.g., monitoring during a drought vs. monitoring following a 20-year recurrence  
1030 interval storm). Effective FPRs will address forest management activities such that geologic-related

1031 impacts are reduced to less than significant. To achieve this, geologic-related monitoring studies must  
1032 include the range of short term to long term, of site specific to regional scales, as well as response to  
1033 episodic rare or large events.

1034 Beyond geologic focused monitoring, aquatic and terrestrial effectiveness monitoring should also  
1035 identify what appropriate temporal scale or specific rare and large events which may need identification  
1036 as part of effectiveness monitoring. Identifying the appropriate temporal scale will assist in separating  
1037 effectiveness of current FPRs versus potential impacts from forest management legacies (see Section  
1038 4.3). Additionally, identifying rare and large events like landslides and floods or impacts from drought,  
1039 disease or wildfire can assist in separating effectiveness of current FPRs and associated regulations.  
1040 Most importantly, some specific FPRs may need to be evaluated for effectiveness following both forest  
1041 management operations and rare or large events (see Section 4.3.1).

#### 1042 — **Appendix A 6. California Department of Forestry and Fire Protection**

1043 CAL FIRE monitoring priorities are to evaluate the implementation (i.e., compliance) and effectiveness of  
1044 the FPRs. High priority topics include monitoring impacts to water quality, as has been undertaken since  
1045 1996, wildlife habitat for Board listed sensitive species, and adequacy of fuel treatments for reducing  
1046 fire spread and intensity.

1047 Specifically, CAL FIRE encourages the EMC to undertake specific projects to determine the FPRs  
1048 effectiveness related to Watercourse and Lake Protection Zone (WLPZ), road, and watercourse crossing  
1049 requirements in maintaining acceptable sediment entry, water temperature regimes, and nutrient  
1050 inputs. Monitoring of roads and watercourse crossings following large hydrologic events is needed to  
1051 test the effectiveness of contemporary forest practices. Additionally, monitoring of unstable area  
1052 identification and unstable area prescription effectiveness is required. The effectiveness of the current  
1053 FPRs for meeting Basin Plan water quality objectives should also be an EMC priority.

1054 Interactions between riparian conditions and in-stream nutrient dynamics must be better understood to  
1055 appropriately manage riparian zones. Improved understanding is needed on how differences in riparian  
1056 stand structure and composition affect seasonal light levels and nutrient availability, which influence  
1057 primary production and thus salmonid production. On going debate over appropriate levels of timber  
1058 harvest in riparian zones make this a high priority research item for CAL FIRE. Factors affecting  
1059 headwater stream temperatures also need to be better understood, particularly related to effectiveness  
1060 of FPR protection measures for Class II watercourses.

1061 Wildlife habitat effectiveness monitoring should also be a high priority for the EMC. CAL FIRE encourages  
1062 the EMC to develop monitoring projects to determine the effectiveness of measures used to ensure take  
1063 avoidance and prevention of significant adverse impacts for Board listed sensitive and other important  
1064 species. CAL FIRE will work through the EMC to collaborate with the other agencies on current wildlife  
1065 monitoring efforts and to develop new monitoring approaches for sensitive species.

1066 With the Governor's recent (2018) goal of doubling the total statewide rate of forest treatments within  
1067 five years to at least 500,000 acres per year for improving forest health and resilience, monitoring of fuel  
1068 treatment practice compliance and effectiveness has become a high priority for CAL FIRE. This includes  
1069 monitoring both operations conducted with plans undergoing multi-agency review, and those  
1070 undertaken with Exemption and Emergency (EX-EM) Notices. After leading a multi-agency EX-EM notice

1071 pilot monitoring project in 2018, CAL FIRE will develop an ongoing program to monitor the effectiveness  
1072 of the resource protection provisions in the FPRs for EX-EM Notices.

1073 **—Appendix A-7. USDA Forest Service**

1074 The USDA Forest Service Pacific Southwest Research Station (PSW) supports testing and monitoring the  
1075 ability of the California FPRs to mitigate adverse effects on the environment from timber harvesting. As  
1076 a world leader in natural resources research, PSW conducts and supports research in four key focus  
1077 areas: (1) providing clean and reliable water resources, (2) enhancing benefits to urban communities  
1078 from the natural environment, (3) sustaining ecological resources and services, and (4) creating  
1079 landscapes that are resilient to disturbances such as timber harvesting and wildfire. Within an adaptive  
1080 land management context, PSW supports EMC projects that evaluate if the FPRs are encouraging timber  
1081 harvesting procedures that reduce post-harvest erosion, provide wildlife habitat for threatened and/or  
1082 endangered species including the Northern Spotted Owl, reduce adverse wildland fire behavior  
1083 potential, and mitigate smoke emissions when harvest areas are burned by wildfire.

1084 **—Appendix A-8. National Marine Fisheries Service**

1085 The National Marine Fisheries Service (NOAA Fisheries) supports the Board's EMC charter goal of  
1086 ascertaining whether the FPRs and associated regulations maintain or enhance water quality and  
1087 aquatic habitat, particularly habitat that supports salmon and steelhead listed under the federal  
1088 ESA. NMFS also supports the overarching goal to create a unified effectiveness monitoring strategy to  
1089 serve as a "road map" for focusing effort on the most urgent issues.

1090 Seven species of salmon and steelhead are federally listed as threatened or endangered in  
1091 California. Timber harvest is identified as a contributing factor that negatively impacts these listed  
1092 species and their habitat. Recovery plans for these species recommend that the FPRs and associated  
1093 regulations be evaluated and, if needed, modified to achieve sufficient habitat condition and population  
1094 abundance necessary for recovery (NMFS 2012, NMFS 2014). NMFS encourages the Board to evaluate  
1095 the effectiveness of FPRs and associated regulations addressing the rate of timber harvest and  
1096 cumulative effects.

1097 Examining a single FPR may not be the most effective approach in determining the effectiveness of  
1098 regulating cumulative effects in all cases. Rather, examining a suite of FPRs and associated regulations  
1099 which are intended, collectively, to contribute to controlling cumulative effects may be more  
1100 informative. In addition, a proper examination of cumulative effects likely involves the study at site,  
1101 watershed, and regional scales by tracking trends in important indicators of species population health  
1102 and habitat condition. While cumulative effects may be avoided or minimized through site- or project-  
1103 level controls (such as those found at FPRs within 14 CCR § 916 [936, 956]) validating whether such  
1104 controls are effective at avoiding significant cumulative effects, or the degree to which they are  
1105 minimized at various scales, is important for informed regulation of timber harvest in watersheds  
1106 supporting listed salmonids.

1107 **—Appendix A-9. Public Stakeholders**

1108 For the purposes of this Strategic Plan, public stakeholders include members of the general public,  
1109 Native American tribes, private landowners, academics from universities, and a wide variety of interest  
1110 groups. Because no one person or entity can speak on behalf of all public stakeholders, this summary is

1111 intended to describe input received to date from public stakeholders on the Strategic Plan. Since the  
1112 EMC welcomes continued input from public stakeholders, this section will be revised when the Strategic  
1113 Plan is updated approximately every three years.

1114 One consistent comment received from multiple conservation groups and individuals is to have work on  
1115 the EMC Strategic Plan, committee discussions, and public meetings as open and transparent as  
1116 possible. To meet this public expectation, all EMC meetings are publicly noticed with meeting agendas,  
1117 and previous meeting notes and other EMC documents are posted on the Board's website under the  
1118 EMC webpage. In addition, all EMC meetings are broadcast live via webinar with the goal of continuing  
1119 to improve internet broadcast of meetings and interaction with the public.

1120 Members of the public have encouraged the EMC to promote monitoring tools or protocols for  
1121 landowner-based project scale monitoring. Use of project scale photo point monitoring (e.g., CVRWQCB  
1122 2014) has been a useful tool for water quality monitoring (Board 2009) and may be appropriate for  
1123 specific EMC critical monitoring questions. In addition, the EMC is encouraged to pursue development of  
1124 easy to implement project scale monitoring protocols to answer specific EMC critical monitoring  
1125 questions when such protocols do not exist.

1126 In general, public stakeholders support monitoring efforts that are well designed, advance our scientific  
1127 understanding of natural processes, and are re-integrated through adaptive management into the FPRs  
1128 and associated regulations. Accordingly, the EMC Strategic Plan places a strong emphasis on identifying  
1129 well designed scientific studies (Section 2.4) that will be able to inform review of existing FPRs through  
1130 an Adaptive Management Framework (Section 2.3).

1131 **APPENDIX B – CAL FIRE AND BOARD MONITORING AND REPORTING REQUIREMENTS**

1132 The following is a list of the FPRs and current statutes with specific monitoring requirements to be  
1133 conducted by CAL FIRE and/or the Board.

1134 **— Appendix B 1. Class II Watercourses**

1135 **14 CCR §§ 916.9 [936.9, 956.9] (g) (1) (C)**

1136 The Department shall report to the Board at least once annually on the use and effectiveness of 14 CCR  
1137 § 916.9 [936.9, 956.9] subsection (g) for as long as this rule section remains effective. This section has  
1138 undergone the rulemaking process and pending approval by the Office of Administrative Law, the  
1139 reporting requirement by the Department shall be struck from the regulation. This was done to allow  
1140 pending and forthcoming scientific studies on the efficacy of the Class II Large rules to come to fruition,  
1141 to allow the Board decide whether to cancel or continue this rule sections when results show the  
1142 relative efficacy of these rules. Additionally, this takes the burden off the Department that formerly  
1143 required a yearly report to the Board, helping ease the heavy reporting requirement that the  
1144 Department holds on Board actions.

1145 **— Appendix B 2. Maintenance and Monitoring of Logging Roads and Landings**

1146 **14 CCR §§ 923.7 [943.7, 963.7] (k)**

1147 ... The Department shall also conduct monitoring inspections at least once during the prescribed  
1148 maintenance period to assess logging road and landing conditions.

1149 **— Appendix B 3. Watercourse Crossings**

1150 **14 CCR §§ 923.9 [943.9, 963.9] (u)**

1151 ... The Department shall also conduct monitoring inspections at least once during the prescribed  
1152 maintenance period to assess watercourse crossing conditions.

1153 **— Appendix B 4. Aspen, meadow and wet area restoration**

1154 **14 CCR §§ 913.4 [933.4, 953.4] (e) (7)**

1155 The Department shall review post harvest field conditions of the portions of plans using the aspen,  
1156 meadow and wet area restoration silvicultural prescription and prepare a monitoring report every five  
1157 (5) years for the Board. The monitoring report shall summarize information on use of the prescription  
1158 including:

- 1159 (i) — The level of achievement of the measures of success as stated in the plan per 14 CCR §§  
1160 913.4, 933.4, and 953.4, subsection (e)(5);
- 1161 (i) — Any post harvest adverse environmental impacts resulting from use of the prescription;
- 1162 (ii) — Any regulatory compliance issues; and
- 1163 (iii) — Any other significant findings resulting from the review. The review shall include photo  
1164 point records.

1165 **— Appendix B 5. Modified THP for Fuel Hazard Reduction**

1166 **14 CCR §§ 1051.7**

1167 ... The Department shall report to the Board at least once annually on the use and effectiveness of 14  
1168 CCR §§ 1051.3-1051.7 for as long as these rule sections remain effective.

1169 **—Appendix B-6. Site-specific measures or nonstandard operational provisions**

1170 **14 CCR §§ 916.9 [936.9, 956.9] (v) (10)**

1171 Board staff and the Department shall work with agencies, stakeholders, and appropriate scientific  
1172 participants (e.g., MSG, Technical Advisory Committee) in a transparent process to: (1) describe and  
1173 implement two pilot projects, including monitored results, using site-specific or non-standard  
1174 operational provisions; and (2) provide recommendations to the Board for consideration for adoption to  
1175 provide detailed guidance for the application of site-specific or non-standard operational provisions.  
1176 The pilot projects and guidance shall address cumulative and planning watershed impacts, and the  
1177 guidance may address the appropriate standards the site-specific or non-operational provisions shall  
1178 meet. A report on the progress of the pilot projects and implementation guidance shall be presented to  
1179 the Board within 18 months of the effective date of this regulation.

1180 **—Appendix B-7. Forest Fire Prevention Exemption Pilot Project**

1181 **14 CCR § 1038(j) (15)**

1182 At least one inspection conducted by the Director shall be made after completion of operations.

1183 **14 CCR § 1038(j) (17)**

1184 The department shall maintain records regarding the use of the Forest Fire Prevention Exemption Pilot  
1185 Project exemption in order to evaluate the impact of it on fuel reduction and natural resources in areas  
1186 where it has been used.

1187 **Public Resources Code (PRC) § 4584 (j) (11) (F)**

1188 The department shall maintain records regarding the use of the exemption granted in this paragraph in  
1189 order to evaluate the impact of the exemption on fuel reduction and natural resources in areas where  
1190 the exemption has been used.

1191 **PRC § 4584 (j) (12)**

1192 After the timber operations are complete, the department shall conduct an onsite inspection to  
1193 determine compliance with this subdivision and whether appropriate enforcement action should be  
1194 initiated.

1195 **—Appendix B-8. Section 303(d) Listed Watersheds**

1196 **14 CCR §§ 916.12 [936.12, 956.12] (a)**

1197 The Department shall, in collaboration with the appropriate RWQCB and SWRCB, prioritize watersheds  
1198 in which the following will be done: 1) conduct or participate in any further assessment or analysis of the  
1199 watershed that may be needed, 2) participate in the development of TMDL problem assessment, source  
1200 assessment, or load allocations related to timber operations, and 3) if existing rules are deemed not to  
1201 be sufficient, develop recommendations for watershed-specific silvicultural implementation,  
1202 enforcement and monitoring practices to be applied by the Department.

1203 **14 CCR §§ 916.12 [936.12, 956.12] (b)**



1204 The Department shall prepare a report setting forth the Department's findings and recommendations  
1205 from the activities identified pursuant to (a) above. The report shall be submitted to the Board and the  
1206 appropriate RWQCB. The report shall be made available to the public upon request and placed on the  
1207 Boards' website for a 90-day period.

1208 ~~— **Appendix B 9. Protection of Habitable Structures Exemption, 2015**~~

1209 ~~**14 CCR § 1038 (c) (6) (G)**~~

1210 The Department shall evaluate the effects of the exemption allowed under 14 CCR 1038(c)(6) including  
1211 frequency and statewide distribution of use acres treated, compliance, professional judgment regarding  
1212 post-treatment stand conditions observed relative to moderating fire behavior and actual performance  
1213 in the event of a wildfire. The Department shall, annually report its findings based on this evaluation to  
1214 the Board.

1215 ~~**PRC § 4581 (i) (6)**~~

1216 The department shall evaluate the effects of this paragraph and shall report its recommendations,  
1217 before the paragraph becomes inoperative, to the Legislature based on that evaluation. The report shall  
1218 be submitted in compliance with Section 9795 of the Government Code.

1219 ~~— **Appendix B 10. Drought Mortality Amendments, 2015**~~

1220 ~~**14 CCR § 1038 (k) (8)**~~

1221 The Department shall monitor and report on the statewide use of the exemption, allowed under 14 CCR  
1222 § 1038(k), including the number of harvest area acres, the areas of application and the degree of  
1223 compliance. The Department shall, within 180 days of the date that these emergency regulations are  
1224 filed with the Secretary of State, report its findings, to the Board.

1225 ~~— **Appendix B 11. Forest Fire Prevention Exemption**~~

1226 ~~**14 CCR § 1038(i) (14)**~~

1227 At least one inspection conducted by the Director shall be made after completion of operations. (This  
1228 provision will likely be revised upon Board promulgation of regulation pursuant to SB 901).

1229 ~~**PRC § 4584 (j) (12)**~~

1230 After the timber operations are complete, the department shall conduct an onsite inspection to  
1231 determine compliance with this subdivision and whether appropriate enforcement action should be  
1232 initiated. (This provision will likely be revised upon Board promulgation of regulation pursuant to SB  
1233 901).

1234 ~~— **Appendix B 12. Emergency Notice for Outbreaks of Sudden Oak Death Disease**~~

1235 ~~**14 CCR § 1052.5**~~

1236 The Department shall track the number of Emergency Notices for outbreaks of SOD, the acreage treated  
1237 under the notices, and the WLPZ acreage treated under the notices, and report the results to the Board  
1238 bi-annually.

1239 **—Appendix B-13. Conversion Exemptions**

1240 **14 CCR § 1104.1 (7)**

1241 The Department shall provide for inspections, as needed, to determine that the conversion was  
1242 completed.

1243 **—Appendix B-14. Exemptions and Emergency Notice Monitoring**

1244 **PRC § 4589**

1245 During the 2016 Legislative Session, Assembly Bills 1958 (Wood) and 2029 (Dahle) were signed into law  
1246 creating two new types of Exemptions from the THP requirements of the FPA. Additionally, the two bills  
1247 directed CAL FIRE and the Board, with participation by the CDFW, RWQCBs, and the public, to provide  
1248 the Legislature with a report on the various Exemptions and Emergency Notice permitting options  
1249 authorized by the FPA and Rules. In the 2017 Legislative Session, the reporting requirements of AB 1958  
1250 and AB 2029 were modified by a budget trailer bill, Senate Bill 92. This budget bill specified a new report  
1251 due date of December 31, 2018, and added the requirement for, "...an analysis of exemption use,  
1252 whether the exemptions are having the intended effect, any barriers for small forest owners presented  
1253 by the exemptions, and measures that might be taken to make exemptions more accessible to small  
1254 forest owners."

1255 During the 2018 Legislative Session, Senate Bill 901 (Dodd) again revised the reporting requirements  
1256 under Public Resources Code § 4589. The reporting timeline was clarified to continue through December  
1257 31, 2025, with an initial submittal of the report occurring on December 31, 2019. The requirement for  
1258 identifying barriers to small forest owners for use of exemptions and recommended measures to make  
1259 exemptions more accessible to small forest owners was repealed. The report shall now include  
1260 recommendations to improve the use of those exemptions and emergency notice provisions,  
1261 information on the linear distance of road constructed or reconstructed under notices of exemption by  
1262 individual ownerships, within a representative sample of planning watersheds from each forest practice  
1263 district. The report shall also contain the number of post-treatment onsite inspections that occur and  
1264 whether those inspections were attended by a representative of the Department of Fish and Wildlife  
1265 and a representative of the State Water Resources Control Board and the number and type of violations  
1266 and enforcement actions taken. The final report due December 31, 2025, shall also include  
1267 recommendations necessary for revisions to diameter limits at stump heights of harvestable trees for  
1268 Small Timberland Owner and Forest Fire Prevention Exemptions.

1269 Currently, data is being assimilated, and initial revisions of this report is underway with the first  
1270 submittal expected in December of 2018.

1271 **—Appendix B-15. Required Inspections for Forest Fire Prevention Exemptions (Senate Bill  
1272 901, not yet in regulation)**

1273 **PRC § 4584 (k) (11)**

1274 After the timber operations are complete, CAL FIRE shall conduct an onsite inspection to determine  
1275 compliance with the FPRs and whether enforcement action should be initiated. CAL FIRE shall notify the  
1276 appropriate Regional Water Quality Control Board, the Department of Fish and Wildlife, and the  
1277 California Geologic Survey seven days prior to conducting the onsite inspection. The Regional Water

1278 ~~Quality Control Board, the Department of Fish and Wildlife, and the California Geologic Survey may~~  
1279 ~~conduct an inspection with CAL FIRE.~~

DRAFT